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WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/986.637

11/09/2001

9351-80

001059 BERESKIN AND PARR SCOTIA PLAZA 40 KING STREET WEST-SUITE 4000 BOX 401 TORONTO, ON M5H 3Y2 **CANADA** 

**CONFIRMATION NO. 5610 FORMALITIES LETTER** 

\*OC000000007107611\*

Date Mailed: 11/21/2001

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

1 18/2002 TV0111 00000008 022095

09986637

FILED UNDER 37 CFR 1.53(b)

FC: 203

72.00 CH

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

O.O. ENT. Jan. 21, 2002 FA

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- o Total additional claim fee(s) for this application is \$766.
  - \$486 for 27 total claims over 20.
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.
  - A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1636.

### 01/25/2002 HANNED1 00000092 09986637

FC:101 02 FC:103 03 FC:104 04 FC:105

740.00 OP 486.00 OP

Popy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



January 21, 2002



## Bereskin & Parr

Barristers and Solicitors/Patent and Trade Mark Agents Practice Restricted to Intellectual Property Law

Our Reference: 9351-80

### **RESPONSE TO** NOTICE TO FILE MISSING PARTS OF APPLICATION

The Commissioner of Patents & Trademarks Washington, D.C. U.S.A 20231

Dear Sir:

Re:

United States Patent Application Serial No. 09/986,637

For: METHOD AND APPARATUS FOR GENERATING HYDROGEN

INSIDE OF A FUEL CELL Filed: November 9, 2001 Applicant: Steven Yang et al

The applicant acknowledges receipt of the notice to File Missing Parts of Application Filing Date Granted which was mailed on November 21, 2001. At this time, the applicant encloses herewith the following:

- 1. A copy of the Notice to File Missing Parts of Application Filing Date Granted:
- 2. Formal Drawings;
- A Declaration as executed by the inventors;

Accordingly, enclosed herewith is our cheque no. 4122 in the amount of 1636.00 which comprises the following:

Filing fee	740.00
Surcharge fee	130.00
Claims in excess of 20	486.00
Multiple Dependent Claims	280.00

Total

\$1636.00

If any additional fees are required, please withdraw them from our deposit account no. 02-2095 or credit any surcharge thereto.

In view of the foregoing, it is respectfully submitted that filing of this application is now complete.

Respectfully submitted,

H. Samuel Frost

Registration No. 31,696

/ elb Encl. JAN 2 2 2002

PTO/SB/17 (11-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
to a collection of information unless it displays a valid OMB control number

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1,636.00

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Complete if Known								
Application Number	09/986,637							
Filing Date	November 9, 2001							
First Named Inventor	Steven Yang							
Examiner Name	Not yet assigned							
Group Art Unit	Not yet assigned							
Attorney Docket No.	9351-81 HSF							

METH	OD OF F	PAYMENT (check a	all that apply)	FEE CALCULATION (continued)					
<b>⊘</b> Check	Credit	card Money	Other None	3. ADDITIONAL FEES					
Deposit	Account:	Order L		Large Entity Small Entity					
Deposit Account		022095		Fee Cod		Fee Cod		Fee Description	Fee Paid
Number Deposit				105	130	205	65	Surcharge - late filing fee or oath	130.00
Account Name	L	Bereskin & P		127	50	227	25	Surcharge - late provisional filing fee or cover sheet	(1)
The Commissioner is authorized to: (check all that apply)		139	130	139	130	Non-English specification			
Charge fee		d below Credi fee(s) during the pende	t any overpayments	147	2,520	147	2,520	For filing a request for ex parte reexamination	
II		d below, except for the		112	920*	112	920*	Requesting publication of SIR prior to	
to the above id			nany ice	140	4 0 404		4 0 4 0 4	Examiner action	
	FEE	CALCULATION		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
1. BASIC FI	ILING FE	E		115	110	215	55	Extension for reply within first month	
Large Entity				116	400	216	200	Extension for reply within second month	
Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Description	Fee Paid	117	920	217	460	Extension for reply within third month	
101 740	201 370	Utility filing fee	740.00	118	1,440	218	720	Extension for reply within fourth month	
106 330	206 165	Design filing fee	7 40.00	128	1,960	228	980	Extension for reply within fifth month	
107 510	207 255	Plant filing fee		119	320	219	160	Notice of Appeal	
108 740	208 370	Reissue filing fee		120	320	220	160	Filing a brief in support of an appeal	
114 160	214 80	Provisional filing	fee	121	280	221	140	Request for oral hearing	
SUBTOTAL (1) (\$) 740.00			1,510		1,510	Petition to institute a public use proceeding			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		140	110	240	55	Petition to revive - unavoidable			
Z. EXTIG	, CAINIII	F	ee from	ų.	1,280	241	640	Petition to revive - unintentional	
Total Claims	47 _	Extra Claims	below Fee Paid 18.00 = 486.00	142 143	1,280 460	242 243	640	Utility issue fee (or reissue)	
Independent Claims	<u> </u>	3 ** =	= 0.00	144	620	243	230 310	Design issue fee Plant issue fee	
Multiple Depen	dent		280.00 = 280.00	122	130	122	130	Petitions to the Commissioner	
		<b>L</b>		123	50	123	50		
Large Entity	Small En			126	180	126	180	Processing fee under 37 CFR 1.17(q) Submission of Information Disclosure Stmt	
Fee Fee Code (\$)	Fee Fe Code (\$		ΩП,	581	40				
103 18	203	9 Claims in excess	of 20	301	40	581	40	Recording each patent assignment per property (times number of properties)	
102 84	202 42	2 Independent clai	ms in excess of 3	146	740	246	370	Filing a submission after final rejection	
104 280	204 14	0 Multiple depende	ent claim, if not paid					(37 ČFR § 1.129(a))	
109 84	209 42	** Reissue indep over original p		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
110 18	210 9		s in excess of 20	179	740	279	370	Request for Continued Examination (RCE)	
		and over original patent		169	900	169	900	Request for expedited examination	
	s	SUBTOTAL (2)	(\$) 766.00	Other	fee (sp	ecify)		of a design application	
							130.00		
				*Redi	uced by	Basic	Filing	Fee Paid SUBTOTAL (3) (\$)	150.00

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO 2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.